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Approved for use through 10/31/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. UF-216C1
First Inventor Dov Borovsky
Title Neuropeptides and Their Use for Pest Control
Express Mail Label No. ET535903415US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit on original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 42]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table,
or a computer program listing appendix
- Background of the invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration unexecuted [Total Pages 3]
a. ☐ Newly executed (original or copy)
Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
b. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. ☐ Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
37 CFR 3.73(b) Statement of Attorney
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Request and Certification under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form PTO/SB/35
or its equivalent.
17. ☒ Other: Cert. of Express Mailing

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)
Prior application information: Examiner Joseph T. Woitach

of prior application No. 09 295,849
Group Art Unit: 1632

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 23,557
(Insert Customer No. or Attach bar code label here) or ☐ Correspondence address below

Name _____
Address _____
City _____ State _____ Zip Code _____
Country _____ Telephone _____ Fax _____

Name (Print/Type) Glenn P. Ladwig Registration No. (Attorney/Agent) 46,853
Signature *Glenn P. Ladwig* Date January 31, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

11046 U.S. PTO
10 063621

01/31/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Docket No. : UF-216C1
Applicants : Dov Borovsky, Arnold DeLoof, Peter Verheart
For : Neuropeptides and Their Use for Pest Control

Box PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

CERTIFICATE OF MAILING BY EXPRESS MAIL (37 CFR 1.10)

Express Mail No. ET 535903415 US Date of Deposit: January 31, 2002
ET535903415US

I hereby certify that the items listed on the attached Utility Patent Application Transmittal form, with copies as required for authorization for use of Deposit Account No. 19-0065, are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and are addressed to: Box PATENT APPLICATION, Assistant Commissioner for Patents, Washington, D.C. 20231.

Amy Nguyen
Name of person mailing paper


Signature

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**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor | Dov Borovsky

| | |
|--------------|--|
| Title | Neuropeptides and Their Use for Pest Control |
|--------------|--|

Atty Docket Number | UF-216C1

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 31, 2002

Date _____

Glenn P. Ludwig
Signature

Signature _____

Glenn P. Ladwig

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

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**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 904.00**Complete if Known**

| | |
|----------------------|------------------|
| Application Number | |
| Filing Date | January 31, 2002 |
| First Named Inventor | Dov Borovsky |
| Examiner Name | |
| Group Art Unit | |
| Attorney Docket No. | UF-216C1 |

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **19-0065**

Deposit Account Name **Saliwanchik, Lloyd & Saliwanchik**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☒ Applicant claims small entity status. See 37 CFR 1.27

2. **Payment Enclosed:**
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

FEE CALCULATION

| BASIC FILING FEE | | | | FEE PAID |
|---------------------|-----------------|----------------|-----------------|--------------------|
| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | |
| 101 | 740 | 201 | 370 | 370.00 |
| 106 | 330 | 206 | 165 | |
| 107 | 510 | 207 | 255 | |
| 108 | 740 | 208 | 370 | |
| 114 | 160 | 214 | 80 | |
| SUBTOTAL (1) | | | | (\$) 370.00 |

| EXTRA CLAIM FEES | | | | FEE PAID |
|--------------------|--------------|----------------|----------|----------|
| Total Claims | Extra Claims | Fee from Below | Fee Paid | |
| 70 | -20** = 50 | 9.00 | 450.00 | |
| Independent Claims | 5 | -3** = 2 | 42.00 | 84.00 |
| Multiple Dependent | | | | |

| Large Fee Code | Entity Fee (\$) | Small Fee Code | Entity Fee (\$) | FEE PAID |
|---------------------|-----------------|----------------|-----------------|--------------------|
| 103 | 18 | 203 | 9 | |
| 102 | 84 | 202 | 42 | |
| 104 | 280 | 204 | 140 | |
| 109 | 84 | 209 | 42 | |
| 110 | 18 | 210 | 9 | |
| SUBTOTAL (2) | | | | (\$) 534.00 |

**for number previously paid, if greater. For Reissues, see above.

FEE CALCULATION (continued)

| Fee Code | Large Entity Fee (\$) | Fee Code | Small Entity Fee (\$) | FEE PAID |
|---------------------|-----------------------|----------|-----------------------|------------------|
| 105 | 130 | 205 | 65 | |
| 127 | 50 | 227 | 25 | |
| 139 | 130 | 139 | 130 | |
| 147 | 2,520 | 147 | 2,520 | |
| 112 | 920* | 112 | 920* | |
| 113 | 1,840* | 113 | 1,840* | |
| 115 | 110 | 215 | 55 | |
| 116 | 400 | 216 | 200 | |
| 117 | 920 | 217 | 460 | |
| 118 | 1,440 | 218 | 720 | |
| 128 | 1,960 | 228 | 980 | |
| 119 | 320 | 219 | 160 | |
| 120 | 320 | 220 | 160 | |
| 121 | 280 | 221 | 140 | |
| 138 | 1,510 | 138 | 1,510 | |
| 140 | 110 | 240 | 55 | |
| 141 | 1,280 | 241 | 640 | |
| 142 | 1,280 | 242 | 640 | |
| 143 | 460 | 243 | 230 | |
| 144 | 620 | 244 | 310 | |
| 122 | 130 | 122 | 130 | |
| 123 | 50 | 123 | 50 | |
| 126 | 180 | 126 | 180 | |
| 581 | 40 | 581 | 40 | |
| 146 | 740 | 246 | 370 | |
| 149 | 740 | 249 | 370 | |
| 179 | 740 | 279 | 370 | |
| 169 | 900 | 169 | 900 | |
| SUBTOTAL (3) | | | | (\$) 0.00 |

*Reduced by Basic Filing Fee Paid

| SUBMITTED BY | | Complete (if applicable) | |
|-------------------|------------------------|-----------------------------------|------------------|
| Name (Print/Type) | Glenn P. Ladwig | Registration No. (Attorney/Agent) | 46,853 |
| Signature | <i>Glenn P. Ladwig</i> | Telephone | 352-375-8100 |
| | | Date | January 31, 2002 |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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